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**UTILITY PATENT
APPLICATION AND FEE
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 510015-269

First Inventor	Larry Coldren
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Title A METHOD FOR APERTURING VERTICAL-CAVITY SURFACE-EMITTING LASERS (VSCELS)

Express Mail Label No. EL585710191US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing.)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages 22
- Cover sheet
 - Descriptive title of the invention
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

- ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 8
- ☒ Combined Declaration & Power of Attorney Total Pages 6
- a. ☒ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents)
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
16. ☒ Nonpublication Request Under
35 U.S.C. 122(b)(2)(B)(i)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	20 - 66	46	\$ 18.00	\$828.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	9	6	\$ 80.00	\$480.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$.00	
				BASIC FEE (37 CFR 1.16(a))	\$710.00
				Total of above Calculations =	\$2018.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				1009.00
				TOTAL =	\$1009.00

6. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
 - b. ☒ Fees required under 37 CFR 1.17.
 - c. ☐ Fees required under 37 CFR 1.18.
- ☒ A check in the amount of \$1009.00 is enclosed.
- ☒ A check in the amount of \$ 40.00 for Assignment recordation is enclosed

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Accordingly, issuance of the official Filing Receipt an early date is in order and is respectfully requested.

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 I hereby certify that on **August 21, 2001**, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20237.

Valerie Mata
 Valerie Mata

Dated: August 21, 2001

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